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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/014,584	12/14/2001	Tomoyuki Furuhata	

CONFIRMATION NO. 2011

FORMALITIES LETTER



OC00000007505591

KONRAD RAYNES VICTOR & MANN, LLP
Suite 210
315 South Beverly Drive
Beverly Hills, CA 90212

Date Mailed: 02/21/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

S. Goyens

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/01/2002 YPOLITE1 00000069 10014584

01 FC:105

130.00 0P



COPY OF PAPER
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gj3 Receipt
15.57/6348

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of Tomoyuki Furuhata)
Serial No.: 10/014,584) Group Art Unit: 2812
Filing Date: December 14, 2001)
For: SEMICONDUCTOR DEVICES HAVING) Examiner: unknown
A NON-VOLATILE MEMORY)
TRANSISTOR AND METHODS FOR)
MANUFACTURING THE SAME)

TRANSMITTAL OF MISSING PARTS

Assistant Commissioner for Patents
Washington, D. C. 20231

Dear Sir:

In response to the enclosed Notice to File Missing Parts dated February 21, 2002, in connection with the above application, the response being due by April 22, 2002 (April 21 was a Sunday), applicant is transmitting the Declaration for the above-identified application, together with a Power of Attorney, a check in the amount of \$130.00. If, for any reason, additional fees are required, please charge them to deposit account 50-0585. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

Alan S. Raynes

Alan S. Raynes

Reg. No. 39,809

KONRAD RAYNES VICTOR & MANN, LLP
315 South Beverly Drive, Suite 210
Beverly Hills, CA 90212
Customer No. 24033

Dated: April 22, 2002

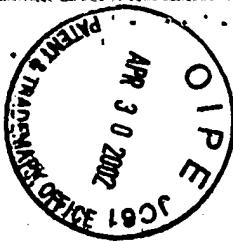
general tele (310) 556-7983
direct tele (310) 871-8448
facsimile (310) 556-7984

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on April 22, 2002.

Alan S. Raynes
Alan S. Raynes

April 22, 2002
Date



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15.57/6348

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of Tomoyuki Furuhata)
Serial No.: 10/014,584)
Filing Date: December 14, 2001)
For: SEMICONDUCTOR DEVICES HAVING)
A NON-VOLATILE MEMORY)
TRANSISTOR AND METHODS FOR)
MANUFACTURING THE SAME)

Group Art Unit: 2812

Examiner: unknown

**REQUEST FOR CORRECTION
OF FILING RECEIPT**

Assistant Commissioner for Patents
Washington, D. C. 20231

Dear Sir:

Please amend the filing receipt as indicated in red on the attached copy as follows:

1. Please amend the filing receipt to include the Attorney Docket No. 15.57/6348. It appears that the docket number that was included in the as-filed application papers was not entered by the Patent Office.
2. Please amend the filing receipt to delete reference to Domestic Priority Application Serial No. 60/251,765, filed 12/07/2000. Applicant did not claim domestic priority in Application Serial No. 60/251,765 in the as-filed application papers.

It is believed that no additional fees are due for consideration of this paper. If additional fees are required related to this paper, please charge them to deposit account 50-0585. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Alan S. Raynes

Alan S. Raynes

Reg. No. 39,809

KONRAD RAYNES VICTOR & MANN, LLP
315 South Beverly Drive, Suite 210
Beverly Hills, CA 90212
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Dated: April 22, 2002

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CERTIFICATE OF MAILING

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Alan S. Raynes
Alan S. Raynes

April 22, 2002
Date



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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/014,584	12/14/2001	2812	1370	15-576348	16	27	9

CONFIRMATION NO. 2011

FILING RECEIPT



OC000000007505590

KONRAD RAYNES VICTOR & MANN, LLP
Suite 210
315 South Beverly Drive
Beverly Hills, CA 90212

Date Mailed: 02/21/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Tomoyuki Furuhata, Residence Not Provided;

Domestic Priority data as claimed by applicant

~~THIS APPLN CLAIMS BENEFIT OF 09/251,765 12/07/2000~~

Foreign Applications

JAPAN 2000-382396 12/15/2000

If Required, Foreign Filing License Granted 02/20/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Semiconductor devices having a non-volatile memory transistor and methods for manufacturing the same

Preliminary Class

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